

STATEMENT

of consent for the processing of personal data

I, THE UNDERSIGNED
FIRST NAME(S)

LAST NAME(S)

ADDRESS
STREET / CITY / COUNTRY

NIN CODE

CONTACT DETAILS
TELEPHONE NUMBER

EMAIL ADDRESS

I hereby declare that I give consent to SIF Banat-Crişana for the processing of the personal data provided in the attached **Information Note**, submitted for the exclusive purposes listed therein, Note which I have read and acknowledged.

This consent can be withdrawn after signing this statement, through a request addressed to SIF Banat-Crişana. The application will be settled within one month of submission, term which may be extended for a period of two months, taking into account the complexity of the request.

SIGNATURE

DATE (DD/MM/YYYY)

INFORMATION NOTE

Societatea de Investiții Financiare Banat-Crișana S.A. (hereinafter **"SIF Banat-Crișana"** or **"the Company"**), Romanian company, headquartered in Arad, 35A Calea Victoriei, Arad county, registered with the Trade Register Office of Arad Court under number Arad J02/1898/1992 and in the ASF Register under number PJR07.1AFIAA / 020007 / 09.03.2018, having the Unique Registration Code 2761040,

in accordance with the provisions of Regulation (EU) 2016/679 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data and repealing Directive 95/46/EC (General Data Protection Regulation) (hereinafter named **"Regulation 2016/679"**),

inform the Company's shareholders about the processing of their personal data (the **"Data"**) as follows:

1. The categories of Data processed:

- (i) last name, surname;
- (ii) nationality / citizenship;
- (iii) date, place of birth, age;
- (iv) home and residence address;
- (v) e-mail address;
- (vi) telephone number;
- (vii) identity document data (e.g. series and number identity document);
- (viii) personal number for natural Romanian shareholders;
- (ix) NIN code for non-resident shareholders;
- (x) Number of shares held in the Company;
- (xi) annual dividends distributed to the shareholder;
- (xii) IBAN bank account.

2. Grounds for processing Data: The Data are required to be provided by the shareholder and required to be processed by the Company for the fulfilment of the Company's statutory obligations regarding, among other things, the identification of shareholders, the distribution and payment of dividends.

Refusal to provide the Data determines the impossibility of identifying the shareholder in the shareholders' register and contacting him/her by the Company when necessary.

3. The purposes of processing the Data: The data will be processed for the purpose of identifying the shareholders on corporate events (such as general shareholders meetings, distribution and payment of dividends, etc.), correspondence with the shareholder, resolution of disputes that may arise between the Company and the shareholder.

4. Duration of processing the Data: The data will be retained for the full duration of shareholder's capacity of the respective person as well as after the cessation of such capacity, for the time required by the legal regulations on accounting and archiving of documents.

5. Recipients of the personal Data: The Data will be used by the Company.

The Data will be transmitted to:

- (i) Depozitarul Central, that keeps the company shareholders' register;
- (ii) Courts, bailiffs, in cases of disputes between the Company and the shareholder;
- (iii) Payment agent in case of dividend payment;
- (iv) Other state institutions (e.g. ANAF, BNR, judicial bodies etc.) upon their request.

Also, some Data (e.g. first name, surname, telephone number, home address) may also be transmitted to private entities, namely courier or transport companies, if the Company sends correspondence to the shareholder.

6. Transfer of Data: Data may be transferred to other European Union countries, without the prior consent and prior notification of the shareholders. If the Company intends to transfer the Data to countries outside the European Union, the Shareholder will be informed in advance.

7. Access to Data: The data will be accessed as follows:

- a) with respect to the Data for identification purposes in the shareholders' register - only by an employee of the Company, an authorized person with specific attributions assigned;
- b) with respect to the Data in order to respond to the petitions received from the shareholder - by
 - (i) the person having duties in receiving the petitions and distributing them to the employees with competences in the matters addressed in the petition;
 - (ii) the employee with competence in the issues addressed in the petition.

Employees of the Company who will access the Data as above are required to keep the confidentiality of the Data, being trained in data protection.

8. Means of the processing of Data: by automated means and manually.

9. Obligations of the Company concerning the Data:

The Company undertakes to:

- a) process the Data according to this information note and specific legislation in force;
- b) process the Data only through secured pages;
- c) confirm to shareholders the processing or not of the Data upon a dated and signed request submitted in writing to the Company;
- d) rectify, update, block, delete or anonymize the data, free of charge, Data whose processing does not comply with the legal provisions;
- e) terminate free of charge the processing of Data not processed in accordance with the legal provisions;
- f) adopt all necessary security measures to protect Data against unauthorized access to the Data;
- g) facilitate the exercise of the shareholder's rights with respect to the Data;
- h) notify the competent authorities of breaches in the security of the Data;
- i) inform the shareholder of a breach of Data security if the breach is likely to create a high risk for his or her rights.

10. Obligations of the shareholders concerning the Data:

The shareholders undertake to:

- a) provide true, accurate and complete Data. If the Data are not true, accurate and complete, shareholders are required to notify the Company as soon as possible to remedy this situation;
- b) update the Data, when the situation so requires, to be true, accurate, and complete.

11. Shareholders' rights concerning the Data:

Shareholders mainly have the following rights:

- a) the right to access the Data, respectively to (i) obtain from the Company a confirmation that data relating to them is being processed or not; (ii) be informed of the transfer of data to states outside the European Union; (iii) receive from the Company a copy of the Processed Data;
- b) the right to rectify the Data, respectively to obtain the completion of the Data that is incomplete;
- c) the right to delete Data that exceeds the Required Data to be provided for the purposes of paragraph 3 above;
- d) the right to restrict the processing of the Data;
- e) the right to the portability of the Data, i.e. the transmission of the Data directly by the Company to other entities, at the request of the shareholder, if this is feasible from a technical point of view;
- f) the right to oppose the processing of Data that exceeds the Required Data to be provided for the purposes of point 3 above;
- g) the right to address to the competent authorities if they consider that the processing of the Data violates the legal provisions, respectively the National Supervisory Authority for Personal Data Processing in Romania.

In order to exercise the above mentioned rights, the shareholders shall submit to the Company a written, dated and signed request, using the following contact details:

Postal address: SIF Banat-Crișana, 35A Calea Victoriei, postal code 310158, Arad, Arad county, Romania;

Email address: gdpr@sif1.ro.